



01-08-07

1FW 3627V#

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Joseph C. Jenniges, et al. Examiner: Elaine L. Gort  
Serial No.: 09/376,811 Group Art Unit: 3627  
Filed: August 18, 1999 Docket: 82.8305  
Date: January 5, 2007 Confirmation No.: 6977  
Title: Computerized Incentive System

**CERTIFICATE UNDER 37 CFR 1.10:**

"Express Mail" mailing label number: EV 947507421 US  
Date of Deposit: January 5, 2007

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450.

By: Cindy Bjoraker  
Name: Cindy Bjoraker

Mail Stop Non-Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**49106**

PATENT TRADEMARK OFFICE

Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Response to Requirement for Information Under 37C.F.R. § 1.105
- ☒ Petition for Extension of Time.
- ☒ Information Disclosure Statement
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 50-2442. A duplicate of this sheet is enclosed.

Dated: January 5, 2007

By: Glen E. Schumann  
Name: Glen E. Schumann  
Reg. No.: 31,058  
GES

MOSS & BARNETT P.C.  
4800 Wells Fargo Center  
90 South Seventh Street  
Minneapolis, MN 55402-4129  
(612) 877-5299



S/N 09/376,811

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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By: Cindy Bjoraker  
Name: Cindy Bjoraker

INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop Amend  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted at the request of the Examiner with a waiver of the fee and certification requirements under 37 C.F.R. § 1.97. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

No foreign patent documents are cited on the enclosed Form 1449. Copies of "Other Documents" Refs. AA-AN are enclosed, in accordance with 37 C.F.R. §1.98(a)(2). Applicants are still in the process of obtaining copies of Refs. AO-AT, so they are not enclosed at this time. References AO-AQ and AS-AT are journal articles, and Applicants expect to be able to supplementally file copies in about one week. Reference AR is a background interest book. Because this Application was filed after June 30, 2003, copies of the U.S. Patents and U.S. patent publications listed on the enclosed Form 1449 are not provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to

establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended, other than as represented in Applicants' Response to Requirement for Information Under 37 C.F.R. § 1.105 filed herewith.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 50-2442.

Respectfully submitted,  
MOSS & BARNETT  
4800 Wells Fargo Center  
90 South Seventh Street  
Minneapolis, Minnesota 55402-4129  
(612) 877-5299

Date: \_\_\_\_\_

January 5, 2007

By: \_\_\_\_\_

Name: Glen E. Schumann

Reg. No.: 31,058

GES:cjcb

Attorney for Applicants

**49106**

PATENT TRADEMARK OFFICE

FORM 1449\*

## INFORMATION DISCLOSURE STATEMENT

IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

82.8305

Application Number:

09/376,811

Applicant: Joseph C. Jenniges, et al.

Filing Date: 8/18/1999

Group Art Unit: 3627

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	6,120,300	Sept. 19, 2000	Ho, et al.			

## FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AA	Pizza Hut "Star Tracks Rewards & Recognition Program for RGMs and Restaurant Teams (1998)
AB	Mitsubishi Motors "In Your Care Working Together to Win" (1996)
AC	Acura Precision Team "For Those Who are Committed to Reaching New Heights" (1998)
AD	Schreiber Food "Cheese Wheel of Fortune" (1989)
AE	Luzi, Al, "Broker Incentive Recommendations for Sunshine Biscuits, Inc." (1995)
AF	Mitsubishi Motor Sales of America, Inc. "Perfect Delivery Partners" (1995)
AG	Transamerica Insurance Finance "Africa A.Self Portrait" (1993)
AH	Transamerica Insurance Finance "Which way to the Awards" (1997)
AI	Chevrolet GEO "On the Move -- The Driving Momentum of Chevrolet" (1994)
AJ	Canon Copiers "Operation Knockout" (1998)

EXAMINER

942540v1

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.

FORM 1449\*

## INFORMATION DISCLOSURE STATEMENT

## IN AN APPLICATION

(Use several sheets if necessary)

Docket Number:

82.8305

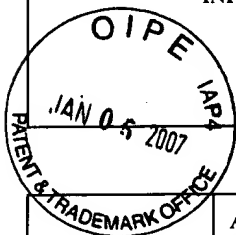
Application Number:

09/376,811

Applicant: Joseph C. Jenniges, et al.

Filing Date: 8/18/1999

Group Art Unit: 3627



AK	"Frequent Stay Programs" <i>Travel Weekly</i> (1990)
AL	"Consumers Earn Free Toys and Clothes With New Credit Card from TOYS "R" US <i>HighBeam Research, PR Newswire</i> , 9/14/1995 <a href="http://www.highbeam.com/library/doc3.asp?DOCID=1G1:17420469&amp;ctrlInfo=Round">http://www.highbeam.com/library/doc3.asp?DOCID=1G1:17420469&amp;ctrlInfo=Round</a> 20%
AM	Noori & Radford, "Production and Operations Management, Total Quality and Responsiveness," McGraw-Hill, Inc., International Edition, pages 312-14.
AN	Appelbaum & Hare, "Self-Efficacy as a Mediator of Goal Setting and Performance: Some Human Resource Applications," <i>Journal of Managerial Psychology</i> (vol. 11, no. 3), 33 (1996).
AO	Locke, E.A., "Motivation Through Conscious Goal Setting," <i>Applied and Preventive Psychology</i> (vol. 5), 117-24 (1996).
AP	Latham & Locke, "Self-Regulation Through Goal Setting," <i>Organizational Behavior &amp; Human Decision Processes</i> (vol. 50, No. 2) 212-48 (Dec. 1991).
AQ	Klein, H.J. "Further Evidence on the Relationship Between Goal Setting and Expectancy Theories," <i>Organizational Behavior &amp; Human Decision Processes</i> (vol. 49, no. 2) 230-58 (Aug. 1991).
AR	Locke & Latham "A Theory of Goal Setting and Task Performance" (Prentice Hall, Englewood Cliffs, N.J. (1990).
AS	Wright, P.M. "A Test of the Mediating Role of Goals in the Incentive-Performance Relationship," <i>Journal of Applied Psychology</i> (vol. 74, no. 5) 699-706 (Oct. 1989).
AT	Reidel, Nebeker & Cooper, "The Influence of Monetary Incentives on Goal Choice, Goal Commitment, and Task Performance," <i>Organizational Behavior &amp; Human Decision Processes</i> (vol. 42, no. 2) 155-81 (Oct. 1988).

**49106**

PATENT TRADEMARK OFFICE

EXAMINER

942540v1

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